SEGUIN
TEXAS

Finance Department

Date: November 27, 2018

To: Mayor and City Councilmembers
Douglas Faseler, City Manager

From: Susan Caddell *Susan Caddell*
Director of Finance

Subject: Investment Report for Quarter Ended September 30, 2018

Attached you will find the investment report for the quarter ended September 30, 2018. The investments range from interest bearing certificates of deposits from banks across the country to agency and discount notes, to the City pool accounts and bank accounts. All investments are allowed within the City of Seguin's Investment Policy. Interest rates have increased since last quarter by .2337%.

The total of all investments increased from June 30 to September 30 by $5,725,349. Interest earnings increased from the quarter ended June 30, 2018 by $80,713. Interest rates have increased by .4767% from September 30, 2017, while interest earnings have increased by $233,747. The total of all investments increased by $20,428,708 from September 30, 2017.

Please let me know if you have any further questions.

Investment Report - City of Seguin
July 1, 2018 - September 30, 2018

Description*	CCFCD-BAJ5	BBCCD-1BK0	PBNCD-SFM8	SBTCD-0AJ3	SBNCD-JRH6	GBTCD-AAX4	SNBCD-QU43	BSBCD-ABG6	DRBCD-DBT5
Par $ Amount	249,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000
Beginning Book Value 07-01-18	249,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000
Ending Book Value 09-30-18	0	0	0	0	0	0	0	0	0
Beginning Market Value 07-01-18	248,757	248,773	248,773	248,760	248,781	248,728	248,752	248,723	248,786
Ending Market Value 09-30-18	0	0	0	0	0	0	0	0	0
Purchase Date	8/24/2017	8/24/2017	8/30/2017	8/24/2017	8/30/2017	8/24/2017	8/24/2017	8/24/2017	8/24/2017
Call Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Maturity Date	8/29/2018	8/30/2018	8/30/2018	8/30/2018	8/30/2018	9/5/2018	9/6/2018	9/6/2018	9/7/2018
Call Yield	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Maturity Yield	1.350%	1.400%	1.400%	1.400%	1.450%	1.350%	1.450%	1.350%	1.500%
Estimated Interest Accrued/(Bank Fees) this Period	543	573	573	573	594	608	663	617	696
% of Portfolio as of 09-30-18	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

CERTIFICATION

We certify the investment activity and strategies described in this report are in compliance with the City's Investment Policy and State law.

Douglas G. Faseler

Douglas G. Faseler, City Manager

Susan Caddell

Susan Caddell, Director of Finance

SNBCD - Safra National Bank New York CD
CFGCD - Choice Financial Group CD
FLHC - Florida Hurricane Catastrophe Fund Fin Corp Revenue Bond Series 2013A
CCFCD - Citizens Community Federal CD
BBCCD - Bank of Beaver City CD
PBNCD - Pinnacle Bank Nashville CD
SBTCD - Security Bank & Trust CD
SBNCD - Santander Bank National CD
GBTCD - Grand Bank Tulsa CD
COBCD - Capital One Bank CD
BSBCD - Biddeford Savings Bank CD
DRBCD - Darien Rowayton Bank CD
FFBCD - First Foundation CD
PBMCD - Peoples Bank Magnolia CD
BHLACD - Bank of Hope LA. CD
COCD - Capital One CD
BCCD - Bank Castile NY CD
FMCD - First Merchants Bank CD
JPMCD - JP Morgan Chase CD
CPCD - Capital Bank Raleigh CD
LCWCD - Lake City Bank CD
FHLB - Federal Home Loan Bank
WFCD - Wells Fargo CD
FNBCD - Fairfeld National Bank CD
GSCD - Goldman Sachs CD
MSCD - Morgan Stanely CD
ABCD - Ally Bank CD
DBGCD - Discover Bk Greenwood CD
MSPCD - Morgan Stanley Private CD
IBCD - Iberiabank CD
FFCD - First Foundation Bank CD
IBBCD - Isabella Bank CD
OCBAB - Oneida County Build America Bonds

Investment Report - City of Seguin
July 1, 2018 - September 30, 2018 (Page 2)

Description*	FFBCD-UEQ5	PBMCD-5FX1	BHLACD-AM9	COCD-RCE9	BCCD-7BL6	FMCD-BEG0	JPMCD-XFV2	CPCD-PAJ3	CFGCD-TEJ2	FHLB-9EE5
Par $ Amount	246,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000	2,000,000
Beginning Book Value 07-01-18	246,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000	0
Ending Book Value 09-30-18	0	0	0	0	0	0	0	249,000	249,000	1,983,484
Beginning Market Value 07-01-18	245,700	248,680	248,719	248,339	248,356	248,339	248,341	248,325	248,259	0
Ending Market Value 09-30-18	0	0	0	0	0	0	0	248,980	248,927	1,987,402
Purchase Date	9/1/2017	8/24/2017	8/24/2017	9/28/2016	9/30/2016	9/30/2016	9/30/2016	9/30/2016	10/6/2016	9/13/2018
Call Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Maturity Date	9/13/2018	9/14/2018	9/18/2018	9/28/2018	9/28/2018	9/28/2018	9/30/2018	10/1/2018	10/9/2018	6/14/2019
Call Yield	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Maturity Yield	1.400%	1.350%	1.450%	1.150%	0.950%	1.100%	1.200%	1.000%	1.050%	1.625%
Estimated Interest Accrued/(Bank Fees) this Period	698	691	781	698	577	668	745	628	665	2,441
% of Portfolio as of 09-30-18	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.25%	0.25%	1.96%

Investment Report - City of Seguin
July 1, 2018 - September 30, 2018 (Page 3)

Description*	FHLB-AEB1	WFCD-3SC1	COBCD-04T4	FNBCD-9BA5	GSCD-NP89	MSCD-MYP0	ABCD-L5C3	DBGCD-BB13	MSPCD-DR77	LCWCD-6CM4
Par $ Amount	6,000,000	249,000	247,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000
Beginning Book Value 07-01-18	0	0	247,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000
Ending Book Value 09-30-18	5,861,033	249,000	247,000	249,000	249,000	249,000	249,000	249,000	249,000	249,000
Beginning Market Value 07-01-18	0	0	244,987	246,692	246,827	246,804	246,804	246,804	246,948	246,755
Ending Market Value 09-30-18	5,921,064	249,078	245,414	247,181	247,287	247,252	247,252	247,261	247,367	247,196
Purchase Date	7/6/2018	7/13/2018	8/30/2017	8/24/2017	8/24/2017	8/24/2017	8/24/2017	9/1/2017	8/31/2017	9/8/2017
Call Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Maturity Date	7/19/2019	8/13/2019	8/30/2019	8/30/2019	8/30/2019	9/3/2019	9/3/2019	9/3/2019	9/3/2019	9/9/2019
Call Yield	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Maturity Yield	2.386%	2.450%	1.750%	1.650%	1.700%	1.700%	1.700%	1.700%	1.750%	1.700%
Estimated Interest Accrued/(Bank Fees) this Period	33,759	1,337	1,090	1,036	1,067	1,067	1,067	1,067	1,098	1,068
% of Portfolio as of 09-30-18	5.78%	0.25%	0.24%	0.25%	0.25%	0.25%	0.25%	0.25%	0.25%	0.25%

Investment Report - City of Seguin
July 1, 2018 - September 30, 2018 (Page 4)

Description*	FHLB-EWJ5	IBCD-AJM5	FFCD-UJG2	IBBCD-9CS2	FLHC-GDH4	OCBAB-44G5	TEXPOOL	LOGIC	BANK-MM	BANK-CK	TOTAL
Par $ Amount	5,500,000	246,000	246,000	249,000	1,000,000	1,360,000	N/A	N/A	N/A	N/A	N/A
Beginning Book Value 07-01-18	0	0	0	0	0	0	10,661,170	68,702,852	256,980	2,420,465	88,510,467
Ending Book Value 09-30-18	5,492,849	246,000	246,000	249,000	1,006,581	1,485,394	10,661,170	68,702,852	256,980	2,420,465	101,348,808
Beginning Market Value 07-01-18	0	0	0	0	0	0	10,661,170	68,706,837	257,083	2,420,465	88,490,067
Ending Market Value 09-30-18	5,491,497	245,782	245,772	248,817	999,400	1,415,284	10,660,210	68,703,745	257,083	2,420,465	101,319,716
Purchase Date	9/13/2018	7/13/2018	7/18/2018	7/13/2018	7/9/2018	9/17/2018	N/A	N/A	N/A	N/A	N/A
Call Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Maturity Date	9/10/2019	10/15/2019	10/18/2019	11/13/2019	7/1/2020	8/1/2020	N/A	N/A	N/A	N/A	N/A
Call Yield	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Maturity Yield	2.579%	2.451%	2.451%	2.500%	2.830%	3.000%	1.9351%	2.1902%	2.0867%	0.000%	1.6778%
Estimated Interest Accrued/(Bank Fees) this Period	6,992	1,321	1,238	1,364	6,517	1,608	50,089	360,276	1,357	-3,906	484,545
% of Portfolio as of 09-30-18	5.42%	0.24%	0.24%	0.25%	0.99%	1.47%	10.52%	67.79%	0.25%	2.39%	100%