



**AIA**<sup>®</sup>

# Document A101<sup>™</sup> – 2007

## **Standard Form of Agreement Between Owner and Contractor** where the basis of payment is a Stipulated Sum

**AGREEMENT** made as of the fifteenth day of December in the year 2015  
(In words, indicate day, month and year.)

**BETWEEN** the Owner:  
(Name, legal status, address and other information)

City of Seguin  
205 N River Street  
Seguin, Texas 78155  
Telephone: 830.379.3212  
Email: dfaseler@seguintexas.gov

and the Contractor:  
(Name, legal status, address and other information)

Don Krueger Construction Co.  
205 Profit Drive  
Victoria, Texas 77903  
Telephone: 361.573.5291  
Email: kkrueger@donkruegerconstruction.com>

for the following Project:  
(Name, location and detailed description)

NEW OPERATIONS CENTER  
City of Seguin

The Architect:  
(Name, legal status, address and other information)

TSG Architects AIA  
427 Saint George Street, #300  
Gonzales, Texas 78629  
Telephone: 830.672.7801  
Email: tim@tsgarchitects.com

The Owner and Contractor agree as follows.

### **ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

AIA Document A201<sup>™</sup>-2007, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

Init.

## TABLE OF ARTICLES

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| 2  | THE WORK OF THIS CONTRACT                       |
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### ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

### ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

### ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be the date of this Agreement unless a different date is stated below or provision is made for the date to be fixed in a notice to proceed issued by the Owner.

*(Insert the date of commencement if it differs from the date of this Agreement or, if applicable, state that the date will be fixed in a notice to proceed.)*

The Date of Commencement will be established in a separate notice to proceed issued by the Owner.

If, prior to the commencement of the Work, the Owner requires time to file mortgages and other security interests, the Owner's time requirement shall be as follows:

Not applicable.

§ 3.2 The Contract Time shall be measured from the date of commencement.

§ 3.3 The Contractor shall achieve Substantial Completion of the entire Work not later than ( ) days from the date of commencement, or as follows:

*(Insert number of calendar days. Alternatively, a calendar date may be used when coordinated with the date of commencement. If appropriate, insert requirements for earlier Substantial Completion of certain portions of the Work.)*

Init.

**Portion of Work**

**Substantial Completion Date**

, subject to adjustments of this Contract Time as provided in the Contract Documents.  
(Insert provisions, if any, for liquidated damages relating to failure to achieve Substantial Completion on time or for bonus payments for early completion of the Work.)

Per Article 9.8 – the Contractor shall pay the Owner as liquidated damages the sum of \$500.00 (five hundred dollars) per calendar day of delay after the established substantial completion date until the Work is Substantially Completed.

**ARTICLE 4 CONTRACT SUM**

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor’s performance of the Contract. The Contract Sum shall be eleven million seventy one thousand one hundred forty six dollars and fifty cents (\$ 11,071,146.50 ), subject to additions and deductions as provided in the Contract Documents.

§ 4.2 The Contract Sum is based upon the following alternates, if any, which are described in the Contract Documents and are hereby accepted by the Owner:  
(State the numbers or other identification of accepted alternates. If the bidding or proposal documents permit the Owner to accept other alternates subsequent to the execution of this Agreement, attach a schedule of such other alternates showing the amount for each and the date when that amount expires.)

- Alternate A – DEDUCT the west covered auto parking at office building (\$50,700.00)
- Alternate B – DEDUCT the north covered auto parking at the office building (\$84,500.00)
- Alternate G – DEDUCT concrete pavement at auto parking and drives (\$48,000.00)
- VE Cost Items accepted by Owner (\$195,653.50) – (Exhibit A)

§ 4.3 Unit prices, if any:  
(Identify and state the unit price; state quantity limitations, if any, to which the unit price will be applicable.)

| Item                    | Units and Limitations | Price Per Unit (\$0.00) |
|-------------------------|-----------------------|-------------------------|
| 18" concrete pier shaft |                       | \$27.00/lf              |
| 24" concrete pier shaft |                       | \$43.00/lf              |
| Concrete sidewalks      |                       | \$4.15/sf               |

§ 4.4 Allowances included in the Contract Sum, if any:  
(Identify allowance and state exclusions, if any, from the allowance price.)

| Item                                | Price        |
|-------------------------------------|--------------|
| Project Contingency                 | \$350,000.00 |
| Truck Wash System                   | \$65,000.00  |
| IT & Security                       | \$550,000.00 |
| Appliances                          | \$7,500.00   |
| Signage and Building Identification | \$8,500.00   |

**ARTICLE 5 PAYMENTS**

**§ 5.1 PROGRESS PAYMENTS**

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the twentieth day of a month, the Owner shall make payment of the certified amount to the Contractor not later than the tenth day of the following month. If an Application for Payment is received by the Architect after the application date fixed above, payment shall be made by the Owner not later than thirty-five ( 35 ) days after the Architect receives the Application for Payment.

*(Federal, state or local laws may require payment within a certain period of time.)*

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 Subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

- .1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of five percent ( 5 %). Pending final determination of cost to the Owner of changes in the Work, amounts not in dispute shall be included as provided in Section 7.3.9 of AIA Document A201™–2007, General Conditions of the Contract for Construction;
- .2 Add that portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction (or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing), less retainage of five percent ( 5 %);
- .3 Subtract the aggregate of previous payments made by the Owner; and
- .4 Subtract amounts, if any, for which the Architect has withheld or nullified a Certificate for Payment as provided in Section 9.5 of AIA Document A201–2007.

§ 5.1.7 The progress payment amount determined in accordance with Section 5.1.6 shall be further modified under the following circumstances:

- .1 Add, upon Substantial Completion of the Work, a sum sufficient to increase the total payments to the full amount of the Contract Sum, less such amounts as the Architect shall determine for incomplete Work, retainage applicable to such work and unsettled claims; and  
*(Section 9.8.5 of AIA Document A201–2007 requires release of applicable retainage upon Substantial Completion of Work with consent of surety, if any.)*
- .2 Add, if final completion of the Work is thereafter materially delayed through no fault of the Contractor, any additional amounts payable in accordance with Section 9.10.3 of AIA Document A201–2007.

§ 5.1.8 Reduction or limitation of retainage, if any, shall be as follows:

*(If it is intended, prior to Substantial Completion of the entire Work, to reduce or limit the retainage resulting from the percentages inserted in Sections 5.1.6.1 and 5.1.6.2 above, and this is not explained elsewhere in the Contract Documents, insert here provisions for such reduction or limitation.)*

Not applicable. Retainage will be held pursuant to Chapter 53 of the Texas Property Code.

§ 5.1.9 Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

**§ 5.2 FINAL PAYMENT**

**§ 5.2.1** Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor’s responsibility to correct Work as provided in Section 12.2.2 of AIA Document A201–2007, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the Architect.

**§ 5.2.2** The Owner’s final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect’s final Certificate for Payment, or as follows:

The Owner’s final payment to the Contractor shall be made no later than 31 days after :

- .1 the Contractor has fully performed the Contract;
- .2 the Architect issues a final Certificate for Payment;
- .3 all timely filed mechanic’s liens have been addressed to Owner’s satisfaction; and
- .4 all statutory requirements have been met.

**ARTICLE 6 DISPUTE RESOLUTION**

**§ 6.1 INITIAL DECISION MAKER**

The Architect will serve as Initial Decision Maker pursuant to Section 15.2 of AIA Document A201–2007, unless the parties appoint below another individual, not a party to this Agreement, to serve as Initial Decision Maker.

*(If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)*

Not applicable.

**§ 6.2 BINDING DISPUTE RESOLUTION**

For any Claim subject to, but not resolved by, mediation pursuant to Section 15.3 of AIA Document A201–2007, the method of binding dispute resolution shall be as follows:

*(Check the appropriate box. If the Owner and Contractor do not select a method of binding dispute resolution below, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.)*

Arbitration pursuant to Section 15.4 of AIA Document A201–2007

Litigation in a court of competent jurisdiction

Other *(Specify)*

**ARTICLE 7 TERMINATION OR SUSPENSION**

**§ 7.1** The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2007.

**§ 7.2** The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2007.

**ARTICLE 8 MISCELLANEOUS PROVISIONS**

**§ 8.1** Where reference is made in this Agreement to a provision of AIA Document A201–2007 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

**§ 8.2** Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

*(Insert rate of interest agreed upon, if any.)*

Init.

Prevailing rate.

**§ 8.3** The Owner's representative:  
(Name, address and other information)

Douglas Faseler, City Manager  
205 N River Street  
Seguin, Texas 78155  
Telephone: 830.379.3212  
Email: dfaseler@seguintexas.gov

**§ 8.4** The Contractor's representative:  
(Name, address and other information)

Kevin Krueger, President  
Todd Traugott, Project Manager  
205 Profit Drive  
Victoria, Texas 77903  
Telephone: 361.573.5291  
Email: kkrueger@donkruegerconstruction.com

**§ 8.5** Neither the Owner's nor the Contractor's representative shall be changed without ten days written notice to the other party.

**§ 8.6** Other provisions:

This Agreement is contingent upon Contractor submitting all requested insurance and bond certificates, policies and riders in a timely manner and prior to the performance of any Work and as per Article 10 of this agreement.

**ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS**

**§ 9.1** The Contract Documents, except for Modifications issued after execution of this Agreement, are enumerated in the sections below.

**§ 9.1.1** The Agreement is this executed AIA Document A101–2007, Standard Form of Agreement Between Owner and Contractor.

**§ 9.1.2** The General Conditions are AIA Document A201–2007, General Conditions of the Contract for Construction.

**§ 9.1.3** The Supplementary and other Conditions of the Contract:

| Document        | Title                    | Date      | Pages |
|-----------------|--------------------------|-----------|-------|
| Section 00 7300 | Supplementary Conditions | 8-18-2015 | 10    |

**§ 9.1.4** The Specifications:  
(Either list the Specifications here or refer to an exhibit attached to this Agreement.)

(see Exhibit B)

| Section | Title | Date | Pages |
|---------|-------|------|-------|
|---------|-------|------|-------|

**§ 9.1.5** The Drawings:  
(Either list the Drawings here or refer to an exhibit attached to this Agreement.)

(see Exhibit C)

| Number                       | Title | Date |
|------------------------------|-------|------|
| § 9.1.6 The Addenda, if any: |       |      |

| Number | Date      | Pages |
|--------|-----------|-------|
| 1.     | 9-11-2015 | 20    |
| 2.     | 9-21-2015 | 17    |
| 3.     | 9-25-2015 | 20    |
| 4.     | 9-28-2015 | 2     |

Portions of Addenda relating to bidding requirements are not part of the Contract Documents unless the bidding requirements are also enumerated in this Article 9.

§ 9.1.7 Additional documents, if any, forming part of the Contract Documents:

- .1 AIA Document E201™–2007, Digital Data Protocol Exhibit, if completed by the parties, or the following:
  
- .2 Other documents, if any, listed below:  
*(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201–2007 provides that bidding requirements such as advertisement or invitation to bid, Instructions to Bidders, sample forms and the Contractor’s bid are not part of the Contract Documents unless enumerated in this Agreement. They should be listed here only if intended to be part of the Contract Documents.)*

**ARTICLE 10 INSURANCE AND BONDS**

The Contractor shall purchase and maintain insurance and provide bonds as set forth in Article 11 of AIA Document A201–2007.  
*(State bonding requirements, if any, and limits of liability for insurance required in Article 11 of AIA Document A201–2007.)*

| Type of insurance or bond                  | Limit of liability or bond amount (\$0.00) |
|--|--|
| Per Section 00 6000 & Section 00 7300.11.2 | Per Section 00 6000 & Section 00 7300.11.2 |

This Agreement entered into as of the day and year first written above.

\_\_\_\_\_  
**OWNER** *(Signature)*

Douglas Faseler, City Manager  
*(Printed name and title)*

\_\_\_\_\_  
**CONTRACTOR** *(Signature)*

Kevin Krueger, President  
*(Printed name and title)*

# **Additions and Deletions Report for** **AIA<sup>®</sup> Document A101<sup>™</sup> – 2007**

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 08:28:48 on 12/15/2015.

## **PAGE 1**

**AGREEMENT** made as of the fifteenth day of December in the year 2015

...

City of Seguin  
205 N River Street  
Seguin, Texas 78155  
Telephone: 830.379.3212  
Email: dfaseler@seguintexas.gov

...

Don Krueger Construction Co.  
205 Profit Drive  
Victoria, Texas 77903  
Telephone: 361.573.5291  
Email: kkrueger@donkruegerconstruction.com>

...

NEW OPERATIONS CENTER  
City of Seguin

...

TSG Architects AIA  
427 Saint George Street, #300  
Gonzales, Texas 78629  
Telephone: 830.672.7801  
Email: tim@tsgarchitects.com

## **PAGE 2**

The Date of Commencement will be established in a separate notice to proceed issued by the Owner.

...

Not applicable.

## **PAGE 3**

Per Article 9.8 – the Contractor shall pay the Owner as liquidated damages the sum of \$500.00 (five hundred dollars) per calendar day of delay after the established substantial completion date until the Work is Substantially Completed.

...

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor’s performance of the Contract. The Contract Sum shall be eleven million seventy one thousand one hundred forty six dollars and fifty cents (\$ 11,071,146.50), subject to additions and deductions as provided in the Contract Documents.

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Alternate A – DEDUCT the west covered auto parking at office building (\$50,700.00)  
Alternate B – DEDUCT the north covered auto parking at the office building (\$84,500.00)  
Alternate G – DEDUCT concrete pavement at auto parking and drives (\$48,000.00)  
VE Cost Items accepted by Owner (\$195,653.50) – (Exhibit A)

...

|                                |                   |
|--------------------------------|-------------------|
| <u>18" concrete pier shaft</u> | <u>\$27.00/lf</u> |
| <u>24" concrete pier shaft</u> | <u>\$43.00/lf</u> |
| <u>Concrete sidewalks</u>      | <u>\$4.15/sf</u>  |

...

|  |                     |
|--|---------------------|
| <u>Project Contingency</u>                 | <u>\$350,000.00</u> |
| <u>Truck Wash System</u>                   | <u>\$65,000.00</u>  |
| <u>IT &amp; Security</u>                   | <u>\$550,000.00</u> |
| <u>Appliances</u>                          | <u>\$7,500.00</u>   |
| <u>Signage and Building Identification</u> | <u>\$8,500.00</u>   |

**PAGE 4**

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the twentieth day of a month, the Owner shall make payment of the certified amount to the Contractor not later than the tenth day of the following month. If an Application for Payment is received by the Architect after the application date fixed above, payment shall be made by the Owner not later than thirty-five ( 35 ) days after the Architect receives the Application for Payment.

...

- .1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of five percent ( 5 %). Pending final determination of cost to the Owner of changes in the Work, amounts not in dispute shall be included as provided in Section 7.3.9 of AIA Document A201™–2007, General Conditions of the Contract for Construction;
- .2 Add that portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction (or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing), less retainage of five percent ( 5 %);

...

Not applicable. Retainage will be held pursuant to Chapter 53 of the Texas Property Code.

PAGE 5

The Owner's final payment to the Contractor shall be made no later than 31 days after :

- .1 the Contractor has fully performed the Contract;
- .2 the Architect issues a final Certificate for Payment;
- .3 all timely filed mechanic's liens have been addressed to Owner's satisfaction; and
- .4 all statutory requirements have been met.

...

Not applicable.

...

Litigation in a court of competent jurisdiction

PAGE 6

%—Prevailing rate.

...

Douglas Faseler, City Manager  
205 N River Street  
Seguin, Texas 78155  
Telephone: 830.379.3212  
Email: dfaseler@seguintexas.gov

...

Kevin Krueger, President  
Todd Traugott, Project Manager  
205 Profit Drive  
Victoria, Texas 77903  
Telephone: 361.573.5291  
Email: kkrueger@donkruegerconstruction.com

...

This Agreement is contingent upon Contractor submitting all requested insurance and bond certificates, policies and riders in a timely manner and prior to the performance of any Work and as per Article 10 of this agreement.

...

Section 00 7300

Supplementary  
Conditions

8-18-2015

10

...

(see Exhibit B)

...

(see Exhibit C)

PAGE 7

|           |                  |           |
|-----------|------------------|-----------|
| <u>1.</u> | <u>9-11-2015</u> | <u>20</u> |
| <u>2.</u> | <u>9-21-2015</u> | <u>17</u> |
| <u>3.</u> | <u>9-25-2015</u> | <u>20</u> |
| <u>4.</u> | <u>9-28-2015</u> | <u>2</u>  |

...

Per Section 00 6000 &  
Section 00 7300.11.2

Per Section 00 6000 &  
Section 00 7300.11.2

...

Douglas Faseler, City Manager

Kevin Krueger, President



## **Certification of Document's Authenticity**

**AIA® Document D401™ – 2003**

I, Tim S Gescheidle, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with its associated Additions and Deletions Report and this certification at 08:28:48 on 12/15/2015 under Order No. 5029165514\_1 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document A101™ – 2007, Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum, as published by the AIA in its software, other than those additions and deletions shown in the associated Additions and Deletions Report.

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*(Signed)*

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*(Title)*

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*(Dated)*