

State of Texas

Resolution No. _____

City of Seguin

**A RESOLUTION TO REVIEW AND ADOPT THE LIST OF BROKERS/DEALERS
AUTHORIZED TO ENGAGE IN INVESTMENT TRANSACTIONS
WITH THE CITY OF SEGUIN**

WHEREAS, the City of Seguin Investment Policy requires review and adoption of the list of brokers/dealers authorized to engage in investment transactions with the City of Seguin; and

WHEREAS, the City of Seguin Investment Policy conforms to the Public Funds Investment Act.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Seguin that the list of brokers/dealers authorized to engage in investment transactions with the City of Seguin attached as "Exhibit A" is approved.

PASSED AND APPROVED this the 15th day of December, 2015.

Don Keil, Mayor

ATTEST:

Thalia Stautzenberger
City Secretary

Exhibit A

CITY OF SEGUIN INVESTMENT BROKERS

Cantella and Co., Inc.

Mark Edelman

12000 Westheimer, Suite 225
Houston, Texas 77077
Phone: (866) 521-6900
Fax: (281) 679-8909

Morgan Keegan and Company, Inc.

Scott C. Kingsford

2900 Hwy. 280, Suite 100
Birmingham, AL 35223
Phone: (800) 786-5442
Fax: (205) 879-4365

Vining-Sparks

Andy Lamanna

7465 Poplar Avenue, Suite 234
Germantown, TN 38138
Phone: (866) 540-0714
Fax: (901) 261-3199

Coastal Securities, Inc.

Tony D. Sekaly

5555 Sam Felipe, Suite 2200
Houston, Texas 77056
Phone: (800) 681-4121
Fax: (713) 435-4444

Mutual Securities, Inc.

Mark Lewis

10250 Constellation Boulevard
23rd Floor, Suite 2320
Los Angeles, CA 90067
Phone: (310) 270-9475
Fax: (310) 772-0241

Wells Fargo Brokerage Services, LLC

Ken Guillory

111 Congress Ave., Third Floor
Austin, TX 78701
Phone: (877) 417-9349
Fax: (512) 344-7419